

# HARMONIC MAPS AND THE TOPOLOGY OF CONFORMALLY COMPACT EINSTEIN MANIFOLDS

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ABSTRACT. We study the topology of a complete asymptotically hyperbolic Einstein manifold such that its conformal boundary has positive Yamabe invariant. We proved that all maps from such manifold into any nonpositively curved manifold are homotopically trivial. Our proof is based on a Bochner type argument on harmonic maps.

## INTRODUCTION

While studying the AdS/CFT correspondence in physics, Witten and Yau [15] proved that for any conformally compact Einstein manifold  $(M^{n+1}, g)$  with  $\text{Ric} = -ng$  and a conformal infinity of positive scalar curvature, one has  $H_n(M, \mathbb{Z}) = 0$ , in particular the conformal boundary of such manifold is connected. This result has opened up a new and exciting direction in geometric analysis. Since then, there are several different proofs of Witten-Yau theorem by Anderson [1], Cai and Galloway [2] and X. Wang [13, 14].

In terms of homotopy theory, the above result can be expressed as

$$[(M, \partial M), (\mathbb{S}^1, *)] = 1,$$

i.e., any continuous map from  $M$  to  $\mathbb{S}^1$  which maps the boundary into the marked point is homotopic to the constant map. So it is natural, from the point of view of harmonic maps, to ask whether the same is true if we replace  $\mathbb{S}^1$  by any nonpositively curved manifold. And we answered this question affirmatively. More precisely, we have

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**Theorem 1.** *Let  $(M^{n+1}, g)$ ,  $n \geq 2$ , be a conformally compact Einstein manifold of order  $C^{3,\alpha}$  with  $\text{Ric}_g = -ng$  such that the conformal infinity of  $M$  has positive Yamabe invariant. Suppose that  $N$  is a compact nonpositively curved manifold. Then the homotopy classes  $[(M, \partial M), (N, *)]$  are trivial.*

In case that  $\text{Ric} \geq 0$ , the usual Bochner technique on harmonic maps is a standard tool in proving similar type of theorems. When  $\text{Ric} = -ng$  there is a Matsushima formula, a version of Bochner type formula, which can be used to obtain vanishing results under special situations. For example, Jost-Yau [5] and Mok-Siu-Yeung [11] had used this idea to obtain superrigidity results. In [13, 14], X. Wang showed that the lower bound on the smallest  $L^2$ -eigenvalue can be used to balance the negativity of the Ricci curvature in the Bochner argument and hence a new proof of Witten-Yau's theorem via the result on the smallest eigenvalue by J. Lee [7]. Moreover, he found the sharp lower bound on the eigenvalue such that the method works. We want to remark that Lee's result is an estimate on the eigenvalue by the boundary geometry. Hence, this method is in fact using the boundary geometry to absorb the Ricci curvature term. This idea is also first introduced and used by Witten and Yau in [15]. Recently, P. Li and J. Wang [8] are able to show that without assuming the manifold is conformally compact, the conditions  $\text{Ric} \geq -n$  and  $\lambda \geq n - 1$  are sufficient to prove that the manifold has only one end of infinite volume or it is a wrap product.

We extend X. Wang's arguments to harmonic maps and generalize his result in this paper. Suppose that  $(M^{n+1}, g)$  is a conformally compact manifold of order  $C^{k,\alpha}$ , i.e. there exists a smooth defining function  $t$  for  $\partial M$  on  $\bar{M}$  such that  $\bar{g}_{ij} = t^2 g_{ij}$  defines a metric on  $\bar{M}$  which is  $C^{k,\alpha}$  up to the boundary. By a defining function  $t$ , we mean  $t > 0$

in  $M$  and  $t$  vanishes to first order on  $\partial M$ . If  $g$  satisfies the Einstein equation  $\text{Ric} = -ng$  and regular enough, then  $|\nabla t|_{\bar{g}} = 1$  on  $\partial M$ . Since the sectional curvature is asymptotic to  $-|\nabla t|_{\bar{g}}^2$  on  $\partial M$  for conformally compact metric [10], the Einstein metric  $g$  is asymptotically hyperbolic according to Lee's terminology (called weakly asymptotically hyperbolic by X. Wang). By denoting the first  $L^2$ -eigenvalue of  $M$  as  $\lambda_g$ , we can now state our main results in which  $M$  is not necessary Einstein.

**Theorem 2.** *Suppose that  $(M^{n+1}, g)$ ,  $n \geq 2$ , is an asymptotically hyperbolic conformally compact manifold of order  $C^1$  such that*

$$\text{Ric}_g \geq -ng \text{ and } \lambda_g \geq n - 1.$$

*Suppose that  $f : M \rightarrow N$  is a smooth harmonic map of finite total energy from  $M$  into a complete nonpositively curved manifold  $N$ . If  $\lambda_g > n - 1$ , then  $f$  is a constant map. If  $\lambda_g = n - 1$ , then either  $f$  is a constant map or  $M = \mathbb{R} \times \Sigma$  with the warped product metric  $g = dt^2 + \cosh^2(t)h$ , where  $(\Sigma, h)$  is a compact manifold with  $\text{Ric}_h \geq -(n - 1)$ .*

After finished the first draft of this paper, the second author was told by P. Li that using the method in [8], theorem 2 remains true without assuming the conformally compactness of the manifold  $M$  if the harmonic map is assumed to be asymptotically constant at infinity.

Combining theorem 2 with results of Li-Tam [9] and Liao-Tam [6] concerning harmonic map heat flow, we can prove the corresponding topological result for such a manifold easily. Then finally, together with Lee's result [7], we can generalize Witten-Yau theorem as stated precisely in theorem 1.

To prove theorem 2, we proceed as in [13, 14] and consider the  $(n - 1)/2n$ -power of the energy density. The technical part is to show that under our assumption, this power of energy density still belongs to

$L^{1,2}(M)$ . Our method is to show that the energy decay sufficiently fast as precisely state in lemma 1.1. This decay lemma may be interesting by itself.

This paper is arranged as follow. In section 1, we first study the decay rate of the energy for harmonic maps with finite total energy on each end and prove couple of lemmas which are needed in the proof of our theorems. We will prove our main theorem in section 2 and apply it in section 3 to prove the desired and other topological theorems.

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## 1. ENERGY DECAY OF HARMONIC MAPS WITH FINITE TOTAL ENERGY

Let  $(M^{n+1}, g)$  be an asymptotically hyperbolic conformally compact manifold of order  $C^1$ . Its boundary components and the corresponding ends will be denoted by  $\Sigma_0^i = \partial M^i$  and  $E^i$  respectively. Under our assumption, there exists *special* defining function  $t$  in the following sense [4]: each end  $E^i$  can be parametrized by  $(t, x)$  such that the metric can be written as

$$g = t^{-2} (dt^2 + h(t, x)) ,$$

(i.e.  $\bar{g} = dt^2 + h$ ) where  $x \in \Sigma_0^i$ ,  $t > 0$  is small and  $h(t, \cdot)$  is a family of metric defined on  $\Sigma_0^i$ .

For any  $0 < t_1 < t_2$  sufficiently small, we define

$$E_{t_1}^i = \{p \in E^i : 0 < t(p) < t_1\},$$

$$A_{t_1, t_2}^i = \{p \in E^i : t_1 < t(p) < t_2\},$$

$$\Sigma_{t_1}^i = \{p \in E^i : t(p) = t_1\},$$

and

$$M_{t_1} = M \setminus \cup_i E_{t_1}^i.$$

In order to simplify notations, we will omit the superscript  $i$  and write  $E_{t_1}$ ,  $A_{t_1, t_2}$ , or  $\Sigma_{t_1}$  if we are working on a fixed end.

**Lemma 1.1.** *Suppose that  $M^{n+1}$ ,  $n \geq 2$ , is a conformally compact manifold of order  $C^1$  with  $\text{Ric} \geq -n$  and  $N$  is a complete nonpositively curved manifold. Let  $f : E_{t_0} \rightarrow N$  be a smooth harmonic map from an end  $E_{t_0} \subset M$  into  $N$  of finite total energy. Then*

$$\int_{E_t} |\nabla f|^2 = O(t^n) \quad \text{as } t \rightarrow 0.$$

*Proof.* We may assume that  $t_0 > 0$  is sufficiently small so that  $E_{t_0}$  is parametrized by  $(t, x)$ , where  $x$  belongs to the corresponding boundary component  $\Sigma_0$  and  $t \in (0, t_0)$ .

By straight forward calculations and the harmonicity of  $f$ , one has the following conservation law: for any smooth vector field  $X$  on  $E_{t_0}$ ,

$$\int_{\partial A_{\tau, t}} \frac{1}{2} |\nabla f|^2 \langle X, n \rangle d\sigma = \int_{\partial A_{\tau, t}} \langle df(X), df(n) \rangle d\sigma + \int_{A_{\tau, t}} \langle S_f, \nabla X \rangle,$$

where  $S_f = \frac{1}{2} |\nabla f|^2 g - f^* ds_N^2$  is the stress-energy tensor of  $f$ . There is a distinguish vector field  $X = -t \frac{\partial}{\partial t}$  on  $E_{t_0}$  and the conservation law applied to this  $X$  gives

$$\int_{\Sigma_\tau} \frac{1}{2} |\nabla f|^2 \left\langle -\tau \frac{\partial}{\partial t}, -\tau \frac{\partial}{\partial t} \right\rangle d\sigma_\tau + \int_{\Sigma_t} \frac{1}{2} |\nabla f|^2 \left\langle -t \frac{\partial}{\partial t}, t \frac{\partial}{\partial t} \right\rangle d\sigma_t$$

$$\begin{aligned}
&= \int_{A_{\tau,t}} \langle S_f, \nabla X \rangle + \int_{\Sigma_\tau} \left\langle df \left( -\tau \frac{\partial}{\partial t} \right), df \left( -\tau \frac{\partial}{\partial t} \right) \right\rangle d\sigma_\tau \\
&\quad + \int_{\Sigma_t} \left\langle df \left( -t \frac{\partial}{\partial t} \right), df \left( t \frac{\partial}{\partial t} \right) \right\rangle d\sigma_t.
\end{aligned}$$

That is

$$\begin{aligned}
&\frac{1}{2} \int_{\Sigma_\tau} |\nabla f|^2 d\sigma_\tau - \frac{1}{2} \int_{\Sigma_t} |\nabla f|^2 d\sigma_t \\
(1.1) \quad &= \int_{\Sigma_\tau} \tau^2 \left| \frac{\partial f}{\partial t} \right|^2 d\sigma_\tau - \int_{\Sigma_t} t^2 \left| \frac{\partial f}{\partial t} \right|^2 d\sigma_t + \int_{A_{\tau,t}} \langle S_f, \nabla X \rangle.
\end{aligned}$$

For a fixed point  $p = (t, x) \in E_{t_0}$ , one can choose a normal coordinates  $x^i$ ,  $i = 1, \dots, n$ , of the metric  $h(t, \cdot)$  centered at  $p$ . Then  $e_0 = t \frac{\partial}{\partial t}$ ,  $e_i = t \frac{\partial}{\partial x^i}$ ,  $i = 1, \dots, n$ , forms an orthonormal basis at  $p$ . By straight forward calculations,

$$\nabla_{e_0} X \equiv 0 \quad \text{and} \quad \nabla_{e_i} X = e_i - \frac{t}{2} h^{jk} \frac{\partial h_{ki}}{\partial t} e_j.$$

Therefore, at  $p$ ,

$$\operatorname{div} X = n - \frac{t}{2} \operatorname{Tr}_h \left( h^{-1} \frac{\partial h}{\partial t} \right)$$

and

$$\langle \nabla_{e_i} X, e_j \rangle = \delta_{ij} - \frac{t}{2} \frac{\partial h_{ij}}{\partial t}$$

for  $i, j = 1, \dots, n$ . Hence at  $p$ ,

$$\begin{aligned}
\langle S_f, \nabla X \rangle &= \sum_{A,B=0}^n S_f(e_A, e_B) \langle \nabla_{e_A} X, e_B \rangle \\
&= \frac{1}{2} |\nabla f|^2 \operatorname{div} X - \sum_{A,B=0}^n \langle df(e_A), df(e_B) \rangle \langle \nabla_{e_A} X, e_B \rangle \\
&= \frac{1}{2} |\nabla f|^2 \left[ n - \frac{t}{2} \operatorname{Tr}_h \left( h^{-1} \frac{\partial h}{\partial t} \right) \right] \\
&\quad - \sum_{i,j=0}^n \langle df(e_i), df(e_j) \rangle \left( \delta_{ij} - \frac{t}{2} \frac{\partial h_{ij}}{\partial t} \right) \\
&= \frac{1}{2} |\nabla f|^2 \left[ n - \frac{t}{2} \operatorname{Tr}_h \left( h^{-1} \frac{\partial h}{\partial t} \right) \right]
\end{aligned}$$

$$(1.2) \quad - \sum_{i=1}^n |df(e_i)|^2 + \frac{t}{2} \langle df(e_i), df(e_j) \rangle \frac{\partial h_{ij}}{\partial t}.$$

By the assumption on  $g$ , we first observe that

$$|\langle S_f, \nabla X \rangle| \leq C |\nabla f|^2$$

and hence  $|\langle S_f, \nabla X \rangle|$  is integrable since  $f$  has finite total energy. So we can let  $\tau$  in equation (1.1) tending to 0. As in [13, 14], we can also choose a sequence of  $\tau$ 's tending to 0 such that

$$\int_{\Sigma_\tau} |\nabla f|^2 \rightarrow 0 \quad \text{as } \tau \rightarrow 0.$$

So, we conclude that for all  $t \in (0, t_0)$ ,

$$(1.3) \quad \int_{E_t} \langle S_f, \nabla X \rangle = \int_{\Sigma_t} t^2 \left| \frac{\partial f}{\partial t} \right|^2 d\sigma_t - \frac{1}{2} \int_{\Sigma_t} |\nabla f|^2 d\sigma_t.$$

Secondly, the assumption on  $g$  and (1.2) imply that there exist  $t_1 \in (0, t_0)$  and  $C_1 > 0$  such that for any  $t \in (0, t_1)$ ,

$$\begin{aligned} \langle S_f, \nabla X \rangle &\geq \frac{n - C_1 t}{2} |\nabla f|^2 - (1 + C_1 t) \sum_{i=1}^n |df(e_i)|^2 \\ &= \frac{n - C_1 t}{2} t^2 \left| \frac{\partial f}{\partial t} \right|^2 + \frac{n - 2 - 3C_1 t}{2} \sum_{i=1}^n |df(e_i)|^2. \end{aligned}$$

To simplify notation, we write

$$|\nabla_t f|^2 = t^2 \left| \frac{\partial f}{\partial t} \right|^2 \quad \text{and} \quad |\nabla_x f|^2 = \sum_{i=1}^n |df(e_i)|^2.$$

Putting it into (1.3), we have

$$(1.4) \quad \begin{aligned} &\frac{n - C_1 t}{2} \int_{E_t} |\nabla_t f|^2 + \frac{n - 2 - 3C_1 t}{2} \int_{E_t} |\nabla_x f|^2 \\ &\leq \frac{1}{2} \int_{\Sigma_t} t^2 |\nabla_t f|^2 d\sigma_t - \frac{1}{2} \int_{\Sigma_t} |\nabla_x f|^2 d\sigma_t. \end{aligned}$$

If we further write

$$F(t) = \int_{E_t} |\nabla_t f|^2 \quad \text{and} \quad G(t) = \int_{E_t} |\nabla_x f|^2$$

and note that

$$tF'(t) = \int_{\Sigma_t} |\nabla_t f|^2 d\sigma_t \quad \text{and} \quad tG'(t) = \int_{\Sigma_t} |\nabla_x f|^2 d\sigma_t,$$

then the above inequality becomes

$$(n - C_1 t)F + (n - 2 - 3C_1 t)G \leq tF' - tG'.$$

This immediately implies

$$\frac{d}{dt} [t^{n-2} e^{-3C_1 t} (F - G)] \geq (2n - 2 - 4C_1 t) t^{n-3} e^{-3C_1 t} F.$$

So if we set  $t_2 = \min\{t_1, 1/2C_1\}$ , then for all  $t \in (0, t_2)$ ,

$$\frac{d}{dt} [t^{n-2} e^{-3C_1 t} (F - G)] \geq 0.$$

As  $f$  has finite total energy,  $\lim_{t \rightarrow 0} F(t) = \lim_{t \rightarrow 0} G(t) = 0$  and hence

$$F(t) \leq G(t) \quad \forall t \in (0, t_2).$$

Put this back into (1.4), we first have

$$tF' \geq (n - 4C_1 t)F \quad \text{for } n = 2.$$

And then for  $n \geq 3$ , one simply drops the term that involve  $G$  and conclude that

$$tF' \geq (n - C_1 t)F \geq (n - 4C_1 t)F.$$

Hence in all cases,

$$\frac{d}{dt} (t^{-n} e^{4C_1 t} F(t)) \geq 0.$$

Integrating this inequality from  $t$  to  $t_2$ , we see that there is a constant  $C > 0$  such that

$$F(t) \leq Ct^n \quad \forall t \in (0, t_2).$$

Together with  $G \leq F$ , we have

$$\int_{E_t} |\nabla f|^2 \leq 2Ct^n$$

and the proof of the lemma is completed.



**Lemma 1.2.** *Let  $f$  be a smooth harmonic map from an  $(n+1)$ -dimensional manifold, then*

$$|\nabla^2 f|^2 \geq \left(1 + \frac{1}{n}\right) |\nabla|\nabla f||^2.$$

*Proof.* With respect to normal coordinates, we have  $\sum_i f_{ii}^\alpha = 0$  at the center. Then for each  $\alpha$ , one can show as in the gradient estimate in [12] that

$$|\nabla^2 f^\alpha|^2 \geq \left(1 + \frac{1}{n}\right) |\nabla|\nabla f^\alpha||^2.$$

Therefore,

$$\begin{aligned} |\nabla|\nabla f||^2 &= \left| \nabla \sqrt{\sum_\alpha |\nabla f^\alpha|^2} \right|^2 \\ &= \left| \frac{\sum_\alpha |\nabla f^\alpha| |\nabla|\nabla f^\alpha||}{\sqrt{\sum_\alpha |\nabla f^\alpha|^2}} \right|^2 \\ &\leq \sum_\alpha |\nabla|\nabla f^\alpha||^2 \\ &\leq \left(1 + \frac{1}{n}\right)^{-1} \sum_\alpha |\nabla^2 f^\alpha|^2 \\ &= \left(1 + \frac{1}{n}\right)^{-1} |\nabla^2 f|^2. \end{aligned}$$

**Lemma 1.3.** *Let  $M, N$  be as in lemma 1.1 and  $f : M \rightarrow N$  be a smooth harmonic map with finite total energy, then*

$$\int_M |\nabla|\nabla f||^2 < +\infty.$$

*Proof.* We only need to show this on one of the end  $E_{t_1}$ . Let  $\eta$  be a smooth cutoff function such that  $0 \leq \eta \leq 1$ ,  $\eta(\beta) \equiv 1$  for  $\beta \in (0, 1]$ ,  $\text{supp}\eta \subset [0, 2)$ , and  $|\eta'| \leq C$  for some absolute constant  $C > 0$ . Then for any  $r > 0$ , consider the function on  $E_{t_1}$  defined by

$$\phi(x, t) = \eta \left( \frac{1}{r} \left| \log \frac{t_1}{t} \right| \right).$$

Then  $0 \leq \phi \leq 1$ ,  $\phi(\cdot, t) \equiv 1$  for all  $t \in [e^{-r}t_1, t_1]$ ,  $\phi(\cdot, t) \equiv 0$  for all  $t \in (0, e^{-2r}t_1]$ , and  $|\nabla\phi|^2 = t^2 \left(\frac{\partial\phi}{\partial t}\right)^2 \leq \frac{C^2}{r^2}$ . Then multiple  $\phi^2$  to the Bochner formula of  $f$  and integrate, one obtains

$$\frac{1}{2} \int_{E_{t_1}} \phi^2 \Delta |\nabla f|^2 \geq \int_{E_{t_1}} \phi^2 |\nabla^2 f|^2 - n \int_{E_{t_1}} \phi^2 |\nabla f|^2.$$

By lemma 1.2, we have

$$\begin{aligned} \left(1 + \frac{1}{n}\right) \int_{E_{t_1}} \phi^2 |\nabla |\nabla f||^2 &\leq n \int_{E_{t_1}} \phi^2 |\nabla f|^2 - 2 \int_{E_{t_1}} \phi |\nabla f| |\nabla\phi \cdot \nabla |\nabla f|| \\ &\quad + \frac{t_1}{2} \int_{\Sigma_{t_1}} \left| \frac{\partial}{\partial t} |\nabla f|^2 \right| \\ &\leq n \int_{E_{t_1}} \phi^2 |\nabla f|^2 + \int_{E_{t_1}} \phi^2 |\nabla |\nabla f||^2 \\ &\quad + \int_{E_{t_1}} |\nabla\phi|^2 |\nabla f|^2 + \frac{t_1}{2} \int_{\Sigma_{t_1}} \left| \frac{\partial}{\partial t} |\nabla f|^2 \right|. \end{aligned}$$

This implies

$$\frac{1}{n} \int_{A_{e^{-r}t_1, t_1}} |\nabla |\nabla f||^2 \leq \left(n + \frac{C^2}{r^2}\right) \int_{E_{t_1}} |\nabla f|^2 + \frac{t_1}{2} \int_{\Sigma_{t_1}} \left| \frac{\partial}{\partial t} |\nabla f|^2 \right|.$$

Letting  $r \rightarrow \infty$ , we conclude that  $\int_{E_{t_1}} |\nabla |\nabla f||^2 < +\infty$  which completes the proof of the lemma.

## 2. VANISHING THEOREM OF HARMONIC MAPS

We'll prove theorem 2 in this section. As we mentioned in the introduction, we proceed as in [13, 14] and consider the  $(n-1)/2n$ -power of the energy density. The technical part is to show that under our assumption, this power of the energy density still belongs to  $L^{1,2}(M)$ .

**Proof of theorem 2.** Let's consider the function

$$\zeta = |\nabla f|^\beta.$$

We first prove that for  $\beta > 1/2$ ,  $\zeta \in L^2(M)$ .

For each fixed end  $E_{t_1}$ , we set  $t_k = 2^{-k+1}t_1$  and consider the integral of  $\zeta^2$  on the annulus  $A_{t_{k+1}, t_k}$ . Then Hölder inequality implies that

$$\begin{aligned} \int_{A_{t_{k+1}, t_k}} \zeta^2 &= \int_{A_{t_{k+1}, t_k}} |\nabla f|^{2\beta} dv_g \\ &\leq \left( \int_{A_{t_{k+1}, t_k}} |\nabla f|^2 dv_g \right)^\beta \left( \int_{A_{t_{k+1}, t_k}} dv_g \right)^{1-\beta} \\ &\leq \left( \int_{A_{t_{k+1}, t_k}} |\nabla f|^2 dv_g \right)^\beta \left( \sup_{0 < t < t_1} \text{vol}_h(\Sigma_t) \int_{t_{k+1}}^{t_k} \frac{dt}{t^{n+1}} \right)^{1-\beta} \\ &\leq \left( \frac{\sup_{0 < t < t_1} \text{vol}_h(\Sigma_t)}{n} \right)^{1-\beta} \left( \int_{A_{t_{k+1}, t_k}} |\nabla f|^2 \right)^\beta \cdot t_{k+1}^{-n(1-\beta)}. \end{aligned}$$

By lemma 1.1,

$$\begin{aligned} \int_{A_{t_{k+1}, t_k}} \zeta^2 &\leq C \left( \frac{\sup_{0 < t < t_1} \text{vol}_h(\Sigma_t)}{n} \right)^{1-\beta} t_k^{n\beta} \cdot t_{k+1}^{-n(1-\beta)} \\ &\leq C_1 \left( \frac{\sup_{0 < t < t_1} \text{vol}_h(\Sigma_t)}{n} \right)^{1-\beta} (2^{-\gamma})^k, \end{aligned}$$

for some constants  $C$  and  $C_1 > 0$  independent of  $k$  and  $\beta$ , and

$$\gamma = n\beta - n(1 - \beta) = n(2\beta - 1) > 0.$$

Hence for all  $K > 0$ ,

$$\int_{A_{t_{K+1}, t_1}} \zeta^2 \leq C_1 \left( \frac{\sup_{0 < t < t_1} \text{vol}_h(\Sigma_t)}{n} \right)^{1-\beta} \frac{1}{1 - 2^{-\gamma}}.$$

Since the upper bound is independent of  $K$ , we've proved that

$$(2.1) \quad \int_{E_{t_1}} \zeta^2 \leq C_1 \left( \frac{\sup_{0 < t < t_1} \text{vol}_h(\Sigma_t)}{n} \right)^{1-\beta} \frac{1}{1 - 2^{-\gamma}}.$$

As the end  $E_{t_1}$  is arbitrary, this proves that  $\zeta \in L^2(M)$ .

Now we consider a differential inequality of  $\zeta$  which is a direct consequence of the Bochner formula of  $f$  and lemma 1.2. To simplify notation, we use  $\mathbb{K}_N \leq 0$  to denote the curvature term of  $N$  in the Bochner formula, which is in the order of  $|\nabla f|^4$ . In this notation, the

inequality becomes

$$\Delta\zeta^2 \geq \frac{2}{\beta} \left( \frac{1}{n} + 2\beta - 1 \right) |\nabla\zeta|^2 - 2n\beta\zeta^2 - \zeta^{-\frac{2(1-\beta)}{\beta}} \mathbb{K}_N.$$

With this inequality and  $\zeta \in L^2(M)$ , we conclude as in the proof of lemma 1.3 that  $|\nabla\zeta|^2$  is also integrable. Hence  $\zeta \in L^{1,2}(M)$ .

Integrating by part of the above inequality on  $M_t$ , one obtains

$$\begin{aligned} - \int_{\cup_i \Sigma_t^i} t \frac{\partial}{\partial t} \zeta^2 d\sigma_t &\geq \frac{2}{\beta} \left( \frac{1}{n} + 2\beta - 1 \right) \int_{M_t} |\nabla\zeta|^2 - 2n\beta \int_{M_t} \zeta^2 \\ &\quad - \int_{M_t} \zeta^{-\frac{2(1-\beta)}{\beta}} \mathbb{K}_N. \end{aligned}$$

The left hand side can be estimated as follows:

$$\left| \int_{\cup_i \Sigma_t^i} t \frac{\partial}{\partial t} \zeta^2 d\sigma_t \right| \leq 2 \int_{\cup_i \Sigma_t^i} \zeta^2 d\sigma_t + 2 \int_{\cup_i \Sigma_t^i} |\nabla\zeta|^2 d\sigma_t.$$

Therefore, since  $\zeta \in L^{1,2}(M)$ , one can choose a sequence of  $t$ 's tending to 0 as in [13, 14] such that

$$\left| \int_{\cup_i \Sigma_t^i} t \frac{\partial}{\partial t} \zeta^2 d\sigma_t \right| \rightarrow 0.$$

As a consequence,

$$(2.2) \quad - \int_M \zeta^{-\frac{2(1-\beta)}{\beta}} \mathbb{K}_N + \frac{2}{\beta} \left( \frac{1}{n} + 2\beta - 1 \right) \int_M |\nabla\zeta|^2 \leq 2n\beta \int_M \zeta^2.$$

If  $f$  is not a constant map, then  $\zeta \not\equiv 0$  and hence

$$\lambda_g \leq \frac{n\beta^2}{\frac{1}{n} + 2\beta - 1}.$$

Since  $(n-1)/n > 1/2$  for  $n \geq 3$ , by letting  $\beta = (n-1)/n$  in this case, we have

$$\lambda_g \leq n-1.$$

Therefore, if  $\lambda_g > n-1$ , then  $f$  must be a constant map. If  $\lambda_g = n-1$ , then inequality (2.2) implies that  $N$  is flat. Then the result follows from X. Wang's result [13, 14].

If  $n = 2$ , Cheng's result [3] implies that  $\lambda_g = n-1 = 1$ . Hence

$$\int_M |\nabla\zeta|^2 \geq \int_M \zeta^2.$$

Putting this into (2.2), one obtains So by taking  $\beta = \frac{1}{2} + \delta$  with any  $\delta > 0$

$$-\int_M \zeta^{-\frac{2(1-\beta)}{\beta}} \mathbb{K}_N \leq \frac{8\delta^2}{1+2\delta} \int_M \zeta^2.$$

To estimate  $\int_M \zeta^2$ , we fix a sufficiently small  $t_1 > 0$  and note that

$$\int_M \zeta^2 = \int_{M_{t_1}} \zeta^2 + \int_{\cup_i E_{t_1}^{(i)}} \zeta^2.$$

By sub-mean value property,  $|\nabla f|^2$  is uniformly bounded and hence there is constant  $C > 0$  such that

$$\int_{M_{t_1}} \zeta^2 = \int_{M_{t_1}} |\nabla f|^{1+2\delta} \leq C$$

for sufficiently small  $\delta > 0$ . For the second term, we apply (2.1) to each  $E_{t_1}^{(i)}$  and get

$$\int_{\cup_i E_{t_1}^{(i)}} \zeta^2 \leq C_1 V^{\frac{1}{2}-\delta} \frac{1}{1-2^{-4\delta}},$$

where  $V = \sup_i \left( \sup_{0 < t < t_1} \text{vol}_h(\Sigma_t^{(i)})/2 \right)$ . Since  $1/(1-2^{-4\delta}) \rightarrow +\infty$  as  $\delta \rightarrow 0$ , we have

$$-\int_M \zeta^{-\frac{2(1-\beta)}{\beta}} \mathbb{K}_N \leq C_2 V^{\frac{1}{2}-\delta} \frac{1}{1-2^{-2\delta}} \cdot \frac{8\delta^2}{1+2\delta},$$

where  $C_2$ . As the upper bound tends to 0 as  $\delta \rightarrow 0$ , we conclude again that  $N$  is flat and hence the result follows as above. This completes the proof of the theorem 2.

### 3. APPLICATION TO ASYMPTOTICALLY HYPERBOLIC EINSTEIN MANIFOLDS

As an application of our vanishing theorem, we first prove the following topological theorem.

**Theorem 3.1.** *Suppose that  $(M^{n+1}, g)$ ,  $n \geq 2$ , is an asymptotically hyperbolic conformally compact manifold of order  $C^1$  such that*

$$\text{Ric}_g \geq -ng \quad \text{and} \quad \lambda_g \geq n-1$$

Then for any nonpositively curved compact manifold  $N$ , the homotopy classes in  $[(M, \partial M), (N, *)]$  are trivial or  $M$  splits as  $\mathbb{R} \times \Sigma$  for some compact manifold  $\Sigma$ .

*Proof.* Since  $M$  is conformally compact,  $(M, \partial M)$  is homotopy equivalent to  $(M \setminus (\cup E_t^i), \cup \Sigma_t^i)$  for sufficiently small  $t$ . Therefore, in each class, we can find a smooth representative  $g : M \rightarrow N$  which maps each end  $E_t^i$  into the marked point  $*$ . Thus  $g$  has bounded energy density and bounded image. Hence by [9], the harmonic map heat flow has a unique solution. Also the square norm of the tension field of  $g$  is in  $L^p$  for  $p > 1$  and tending to 0 near the boundary, the results in [9] imply that the heat flow converges to a harmonic map  $f$  with same boundary data as  $g$ . So  $f$  is also a representative of the class  $[g]$ . (In particular,  $f$  is asymptotically constant on each end.) On the other hand, using the result in [6],  $g$  has finite total energy (and the uniqueness) implies that  $f$  also has finite total energy. Therefore, theorem 2 immediately implies that either  $M$  splits or  $f$  must be a constant and hence the homotopy class is trivial.

The above theorem include the following results of [13, 14].

**Corollary 3.2.** *Let  $(M, g)$  as in theorem 3.1, then  $H_n(M, \mathbb{Z}) = 0$  and in particular the conformal infinity is connected.*

As we mentioned in the introduction, all the above application and study are motivated by the results of [15]. And we are now ready to prove the promised generalization (theorem 1) which is an immediate consequence of theorem 3.1

**Proof of theorem 1.** By Lee's theorem [7],  $M$  has eigenvalue  $\lambda = n^2/4$  which is strictly greater than  $n-1$  if  $n \geq 3$ . Therefore, by theorem 3.1, the claim follows. If  $n = 2$ , theorem (3.1) implies that either the claim is true or the manifold splits. As the boundary is assumed to

have positive Yamabe invariant, the warped product metric cannot be negative Einstein. Hence the theorem is also true for this case.

**Corollary 3.3.** *Let  $(M^{n+1}, g)$ ,  $n \geq 2$ , be a conformally compact Einstein manifold with  $\text{Ric}_g = -ng$ . Suppose that the conformal infinity of  $M$  has positive Yamabe invariant, then  $H_n(M, \mathbb{Z}) = 0$  and in particular the conformal infinity is connected.*

*Proof.* This follows immediately from theorem 1 and basic results in algebraic topology.

Finally, we apply theorem 1 to prove the a nonexistence theorem for Einstein manifold in the situation of AdS/CFT correspondence.

**Theorem 3.4.** *Suppose that  $N^{n+1}$ ,  $n \geq 2$ , is a compact manifold which support a nonpositively curved metric. Then for any embedded disc  $D \subset N$ , the manifold  $M = N \setminus D$  has no asymptotically hyperbolic conformally compact metric of order  $C^1$  satisfying  $\text{Ric} \geq -n$  and  $\lambda \geq n - 1$ .*

*Proof.* It is obvious that  $[(M, \partial M), (N, *)]$  is nontrivial for any point  $* \in D$  and  $M$  does not split. Therefore, the existence of such metric contradicts theorem 1.

**Theorem 3.5.** *Suppose that  $N^{n+1}$ ,  $n \geq 2$ , is a compact manifold which support a nonpositively curved metric. Then for any embedded disc  $D \subset N$ , the manifold  $M = N \setminus D$  has no conformally compact Einstein metric of order  $C^{3,\alpha}$  satisfying  $\text{Ric} = -n$ .*

*Proof.* Since  $\partial M = \partial D$  has positive Yamabe invariant, if there exists a conformally compact Einstein metric of order  $C^{3,\alpha}$  with  $\text{Ric} = -n$ , then Lee's theorem [7] implies that  $\lambda = n^2/4$  which is greater than  $n - 1$  for  $n \geq 3$ . This is impossible by theorem 3.4. If  $n = 2$ , then the manifold splits with the given form of warped product metric. The

negativity of this Einstein metric contradicts the fact that the  $\partial M$  has positive Yamabe invariant.

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